Docket No.:

ASMNUT.029CP1

March 9, 2005

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Please Direct All Correspondence to Customer Number 20995

TRANSMITTAL LETTER INFORMATION DISCLOSURE STATEMENT

Applicant

Basol et al.

App. No

10/822,424

Filed

April 12, 2004

For

SYSTEM FOR ELECTROPOLISHING

AND ELECTROCHEMICAL MECHANICAL POLISHING

Examiner

: Unknown

Art Unit

1742

mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450, on

March 9, 2005 (Date)

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all

marked attachments are being deposited with

the United States Postal Service as first-class

Tina Chen, Reg. No. 44,606

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
 - (X) Listing 83 references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Tina Chen

Registration No. 44,606 Attorney of Record

Customer No. 20,995

(415) 954-4114

1329450_1 030905 . Docket No.: ASMNUT,029CP1 Customer No. 20,995



INFORMATION DISCLOSURE STATEMENT

policant

Basol et al.

App. No

10/822,424

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Examiner

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CERTIFICATE OF MAILING

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March 9, 2005
(Date)
Tina Chen, Reg. No. 44,606

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is an Information Disclosure Statement by Applicant (PTO/SB/08 equivalent) listing 83 references that are of record in U.S. patent application No. 10/391,924, filed March 18, 2003, U.S. patent application No. 10/093,185, filed March 5, 2002, U.S. patent application No. 09/877,335, filed June 7, 2001, U.S. application No. 09/283,024, filed March 30, 1999, U.S. patent application No. 10/459,321, filed June 10, 2003, U.S. patent application No. 09/685,934, filed October 11, 2000, U.S. patent application No. 10/238,665, filed September 9, 2002, U.S. patent application No. 09/607,567, filed June 29, 2000, and U.S. patent application No. 09/201,929, filed December 1, 1998, which are parent applications of this continuation-in-part application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required. If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Appl. No.

: 10/822,424

Filed

: · April 12, 2004

Docket No. ASMNUT.029CP1

Customer No. 20,995

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

Much 9, 2005

By:

Tina Chen

Registration No. 44,606

Attorney of Record

Customer No. 20,995

(415) 954-4114

1329433_1 030905 INFORMATION DISCLOSURE

Application No. 10/822,424

Filing Date April 12, 2004

First Named Inventor Basol et al.

Art Unit 1742

Examiner Unknown

Attorney Docket No. ASMNUT.029CP1

(Multiple sheets used when necessary)
SHEET 1 OF 4

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		
	1	3,328,273	06-1967	Creutz et al.			
-	2	3,595,089	05-1976	Watts			
	3	3,779,887	12-1973	Gildone .			
	4	3,959,089	05-1976	Watts			
	5	4,269,686	05-1981	Newman et al.			
	6	4,339,319	07-1982	Aigo			
	7	4,430,173	02-1984	Boudot et al.			
	8	4,431,501	02-1984	Leppanen			
	9	4,609,450	09-1986	Seimiya et al.			
	10	4,610,772	09-1986	Palnik			
	11	4,948,474	08-1990	Miljkovic			
	12	4,954,142	09-1990	Carr et al.			
	13	4,975,159	12-1990	Dahms			
	14	5,024,735	06-1991	Kadija			
	15	5,084,071	01-1992	Nenadic et al.			
	16	5,171,412	12-1992	Talieh et al.			
	17	5,256,565	10-1993	Bernhardt et al.	•		
	18	5,354,490	10-1994	Yu et al.			
	19	5,429,733	07-1995	Ishida			
-	20	5,447,615	09-1995	Ishida			
	21	5,472,592	12-1995	Lowery			
	22	5,516,412	05-1996	Andricacos et al.			
	23	5,558,568	09-1996	Talieh et al.			
	24	5,681,215	10-1997	Sherwood et al.			
	25	5,692,947	12-1997	Talieh et al.			
	26	5,700,366	12-1997	Steblianko et al.			
	27	5,755,859	05-1998	Brusic et al.			
	28	5,762,544	06-1998	Zuniga et al.			
	29	5,770,095	06-1998	Saski et al.			

Examiner Signature

Date Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

,	Application No.	10/822,424
INFORMATION DISCLOSURE	Filing Date	April 12, 2004
STATEMENT BY APPLICANT	First Named Inventor	Basol et al.
STATEMENT BY AT LICANT	Art Unit	1742
(Multiple sheets used when necessary)	Examiner	Unknown
SHEET 2 OF 4	Attorney Docket No.	ASMNUT.029CP1

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
	30	5,773,364	06-1998	Farkas et al.		
	31	5,779,492	07-1998	Okuyama et al.		
	32	5,793,272	08-1998	Burghartz et al.		
	33	5,795,215	08-1998	Guthrie et al.		
	34	5,807,165	09-1998	Uzoh et al.		
	35	5,833,820	11-1998	Dubin		
	36	5,840,629	11-1998	Carpio		
	37	5,858,813	01-1999	Scherber et al.		
	38	5,863,412	01-1999	Ichinose et al.		
	39	5,871,626	02-1999	Crafts et al.		
	40	5,884,990	03-1999	Burghartz et al.		
	41	5,897,375	04-1999	Watts et al.		
	42	5,911,619	06-1999	Uzoh et al.		
	43	5,922,091	06-1999	Tsai et al.		
<u> </u>	44	5,930,669	07-1999	Uzoh		
	45	5,933,753	08-1999	Simon et al.		
	46	5,954,997	09-1999	Kaufman et al.		
	47	5,985,123	11-1999	Koon		
	48	6,004,880	12-1999	Liu et al.		
	49	6,027,631	02-2000	Broadbent		
	50	6,056,869	05-2000	Uzoh		
	51	6,063,506	05-2000	Andricacos et al.		
	52	6,066,030	05-2000	Uzoh		
	53	6,071,388	06-2000	Uzoh		
	54	6,074,544	06-2000	Reid et al.		
	55	6,103,085	08-2000	Woo et al.		
	56	6,132,587	10-2000	Jorne et al.		
	57	6,136,163	10-2000	Cheung et al.		
	58	6,143,155	11-2000	Adams et al.		

Examiner	Signature	
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STATEMENT BY ATTECANT	Art Unit	1742
(Multiple sheets used when necessary)	Examiner	Unknown
SHEET 3 OF 4	Attorney Docket No.	ASMNUT.029CP1

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		
	59	6,176,992	01-2001	Talieh			
	60	6,197,182	03-2001	Kaufman et al.			
	61	6,210,554	04-2001	Kosaki et al.			
	62	6,270,646	08-2001	Walton et al.			
	63	6,537,144	03-2003	Tsai et al.			
	64	2002/0102853	08-2002	Li			

	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹
	65	DE 2008664	07-1971	Jostan		
	66	DE 4324330	03-1994	Schneider		
	67	FR 2763343	11-1998	Reidsema et al.		-
	68	GB 1350070	04-1974			-
	69	JP 6-108285	04-1994	Ezawa et al.		
	70	JP 11-054479	02-1999	Nakajima		
	71	WO 97/01657	01-1997	Billman		······································
	72	WO 98/27585	06-1998	Andricacos et al.		
	73	WO 99/64647	12-1999	Adams et al.		
	74	WO 00/03426	01-2000	Wang et al.		
	75	WO 00/26443	05-2000	Talieh		
	76	WO 02/064314	08/2002	Emesh et al.		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ¹ .
		CONTOLINI et al., "Electrochemical planarization for multilevel metallization," Septmber 1994, pp. 2503-2510.	
	78	KELLY et al, "Leveling and microstructural effects of additives for copper electrodeposition," <u>Journal of Electrochemical Society</u> , 1999, pp. 2540-2545, Vol. 146, No. 7.	

	 1	
Examiner Signature	Date Considered	

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PTO/SB/08 Equivalent

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STATEMENT BY APPLICANT	First Named Inventor	Basol et al.
STATEMENT BY AFFEIGANT	Art Unit	1742
(Multiple sheets used when necessary)	Examiner	Unknown
SHEET 4 OF 4	Attorney Docket No.	ASMNUT.029CP1

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volun number(s), publisher, city and/or country where published.		T ¹			
	79	MADORE et al., "Blocking inhibitors in Catholic leveling," <u>I Theoretical Analysis</u> , December 1997, pp. 3927-3942.				
	80	MIKKOLA et al., "Investigation of the roles of the additive components for second generation copper electroplating chemistries used for advanced interconnect metallization," 2000 IEEE, June 2000, pp. 117-119, IEEE Electron Devices Society.				
	81	RUBINSTEIN, "Tampongalvanisieren in der Praxis, Teil 1," <u>Galvanotechnik</u> , September 2000, Vol. 79, No. 10, pp. 3263-3270.				
	82	STEIGERWALD et al., "Pattern geometry effects in the chemical-mechanical polishing of inlaid copper structures," October 1994, pp. 2842-2848.				
	83	WEST et al., "Pulse reverse copper electrodeposition in high aspect ratio trenches and vias," September 1998, pp. 3070-3073.				

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